### EE247 Lecture 9

- Switched-Capacitor Filters
  - "Analog" sampled-data filters:
    - Continuous amplitude
    - Quantized time
  - Applications:
    - First commercial product: Intel 2912 voice-band CODEC chip, 1979
    - Oversampled A/D and D/A converters
    - Stand-alone filters
       E.g. National Semiconductor LMF100

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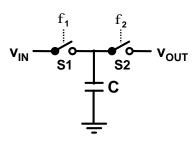
## Switched-Capacitor Filters Today

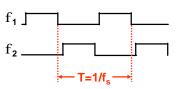
- Emulating resistor via switched-capacitor network
- 1st order switched-capacitor filter
- Switch-capacitor filter considerations:
  - Issue of aliasing and how to avoid it
  - Tradeoffs in choosing sampling rate
  - Effect of sample and hold
  - Switched-capacitor filter electronic noise
  - Switched-capacitor integrator topologies

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### Switched-Capacitor Resistor

- Capacitor C is the "switched capacitor"
- Non-overlapping clocks  $\phi_1$  and  $\phi_2$  control switches S1 and S2, respectively
- v<sub>IN</sub> is sampled at the falling edge of
  - Sampling frequency f<sub>s</sub>
- Next,  $\phi_2$  rises and the voltage across C is transferred to  $v_{\text{OUT}}$
- Why does this behave as a resistor?





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## **Switched-Capacitor Resistors**

 Charge transferred from v<sub>IN</sub> to v<sub>OUT</sub> during each clock cycle is:

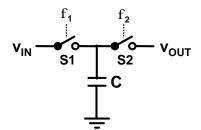
$$Q = C(v_{IN} - v_{OUT})$$

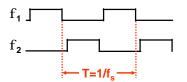
• Average current flowing from  $v_{\text{IN}}$  to  $v_{\text{OUT}}$  is:

$$i=Q/t=Q.f_s$$

Substituting for *Q*:

$$i = f_S C(v_{IN} - v_{OUT})$$





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## **Switched-Capacitor Resistors**

$$i = f_S C(v_{IN} - v_{OUT})$$

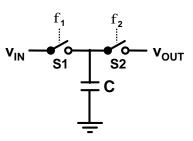
With the current through the switchedcapacitor resistor proportional to the voltage across it, the equivalent "switched capacitor resistance" is:

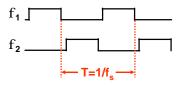
$$R_{eq} = \frac{1}{f_s C}$$

Example:

$$f_S = 1MHz, C = 1pF$$

$$\rightarrow R_{eq} = 1 Mega\Omega$$





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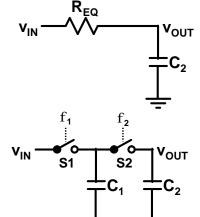
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## Switched-Capacitor Filter

- Let's build a "switched- capacitor " filter ...
- Start with a simple RC LPF
- Replace the physical resistor by an equivalent switchedcapacitor resistor
- 3-dB bandwidth:

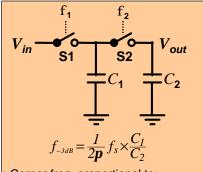
$$\mathbf{w}_{-3dB} = \frac{1}{R_{eq}C_2} = f_s \times \frac{C_1}{C_2}$$

$$f_{-3dB} = \frac{1}{2\pi} f_s \times \frac{C_1}{C_2}$$

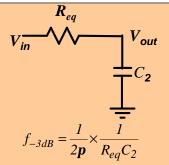


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## Switched-Capacitor Filters Advantage versus Continuous-Time Filters



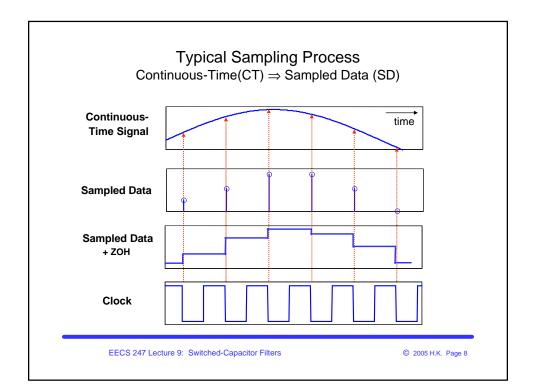
 Corner freq. proportional to: System clock (accurate to few ppm) C ratio accurate → < 0.1%</li>



• Corner freq. proportional to: Absolute value of Rs & Cs Poor accuracy → 20 to 50%

8 Main advantage of SC filters → inherent corner frequency accuracy

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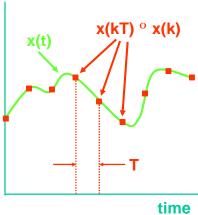


# Uniform Sampling

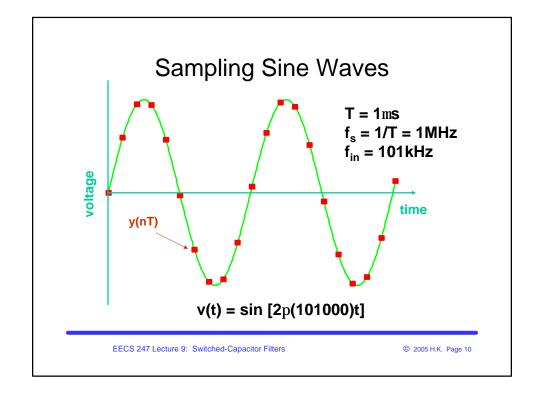
#### Nomenclature:

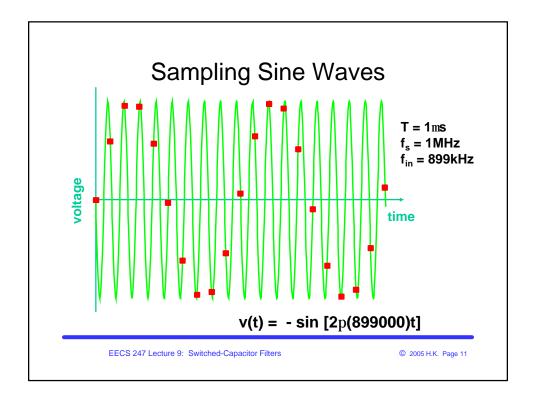
Continuous time signal x(t)Sampling interval TSampling frequency  $f_s = 1/T$ Sampled signal x(t)

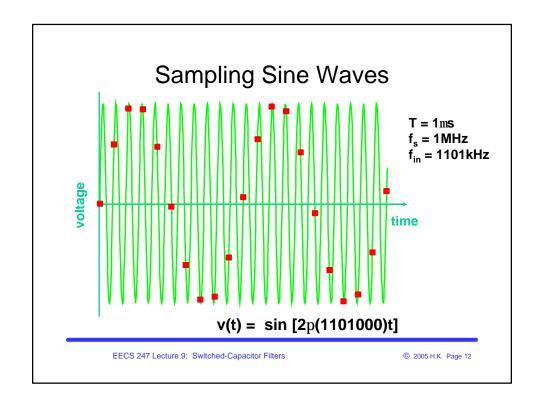
- Problem: Multiple continuous time signals can yield exactly the same discrete time signal
- Let's look at samples taken at 1µs intervals of several sinusoidal waveforms ...



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## Sampling Sine Waves

#### Problem:

Identical samples for:

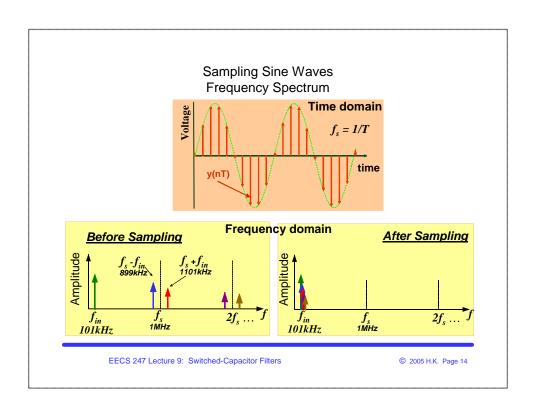
$$v(t) = \sin \left[2\mathbf{p}f_{in}t\right]$$

$$v(t) = \sin \left[2\mathbf{p}(f_{in}+f_s)t\right]$$

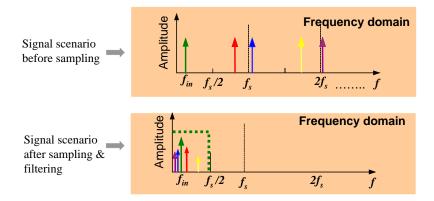
$$v(t) = \sin \left[2\mathbf{p}(f_{in}-f_s)t\right]$$

→ Multiple continuous time signals can yield exactly the same discrete time signal

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Key point: Signals @  $nf_S \pm f_{max\_signal}$  fold back into band of interest  $\rightarrow$  Aliasing

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## Aliasing

- Multiple continuous time signals can produce identical series of samples
- The folding back of signals from  $nf_S \pm f_{sig}$  down to  $f_{fin}$  is called <u>aliasing</u>
  - Sampling theorem:  $f_s > 2f_{max\_Signal}$
- If aliasing occurs, no signal processing operation downstream of the sampling process can recover the original continuous time signal

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## How to Avoid Aliasing?

• Must obey sampling theorem:

$$f_{max\_Signal} < f_s/2$$

- Two possibilities:
  - Sample fast enough to cover all spectral components, including "parasitic" ones outside band of interest
  - 2. Limit  $f_{max\_Signal}$  through filtering

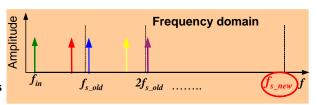
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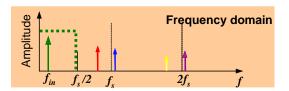
## How to Avoid Aliasing?

1- Push sampling frequency to x2 of the highest freq.

→ In most cases not practical

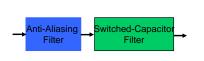


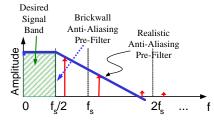
2- Pre-filter signal to eliminate signals above f/2 then sample



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### **Anti-Aliasing Filter Considerations**





Case1- $B=f_{max-Signal}=f_s/2$ 

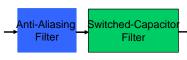
- Non-practical since an extremely high order anti-aliasing filter (close to an ideal brickwall filter) is required
- Practical anti-aliasing filter →Nonzero filter "transition band"
- In order to make this work, we need to sample much faster than 2x the signal bandwidth

→"Oversampling"

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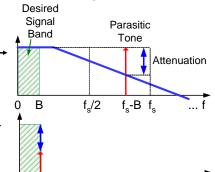
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### **Practical Anti-Aliasing Filter**





- More practical anti-aliasing filter
- Preferable to have an antialiasing filter with:
  - →The lowest order possible
  - →No frequency tuning required (if frequency tuning is required then why use switched-capacitor filter, just use the prefilter!?)

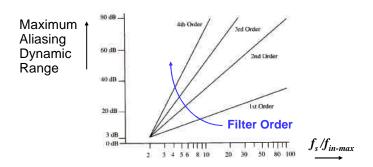


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... f

## Tradeoff Oversampling Ratio versus Anti-Aliasing Filter Order



\* Assumption→ anti-aliasing filter is Butterworth type (not a necessary requirement)

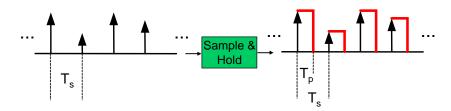
#### →Tradeoff: Sampling speed versus anti-aliasing filter order

Ref: R. v. d. Plassche, CMOS Integrated Analog-to-Digital and Digital-to-Analog Converters, 2nd ed., Kluwer publishing, 2003, p.41]

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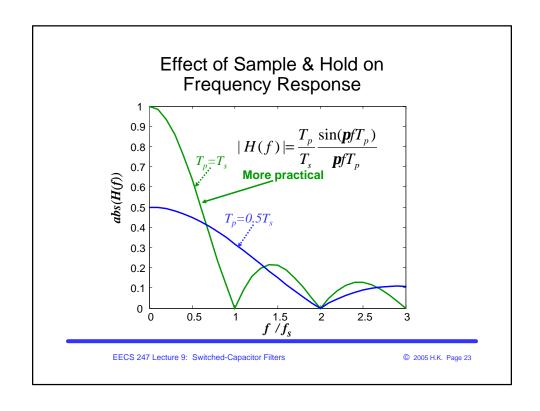
## Effect of Sample & Hold

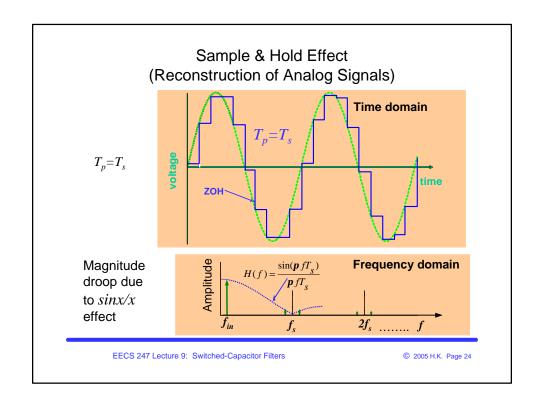


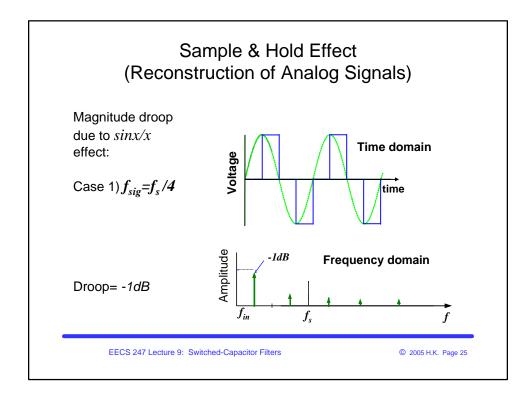
•Using the Fourier transform of a rectangular impulse:

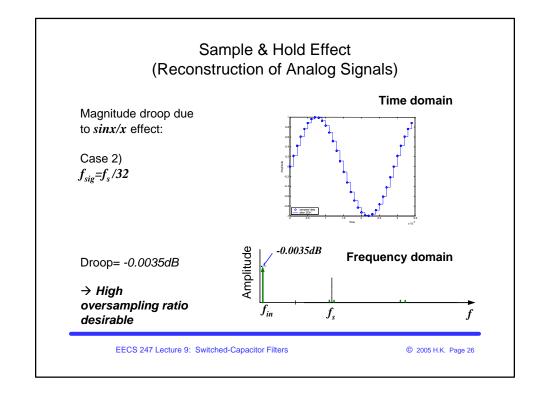
$$|H(f)| = \frac{T_p}{T_s} \frac{\sin(\mathbf{p}fT_p)}{\mathbf{p}fT_p}$$

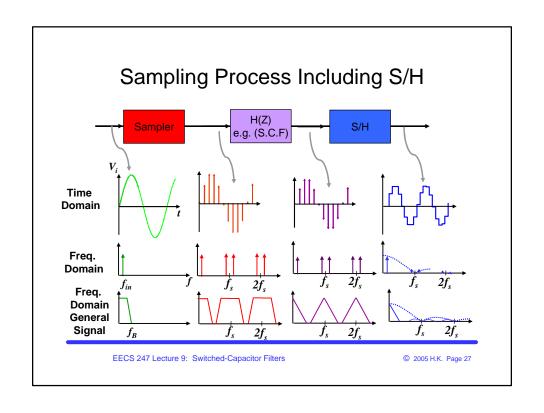
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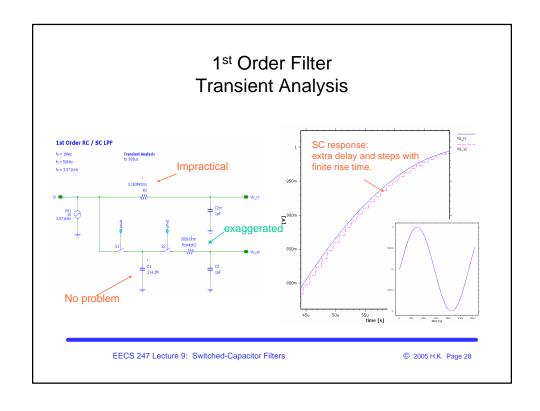


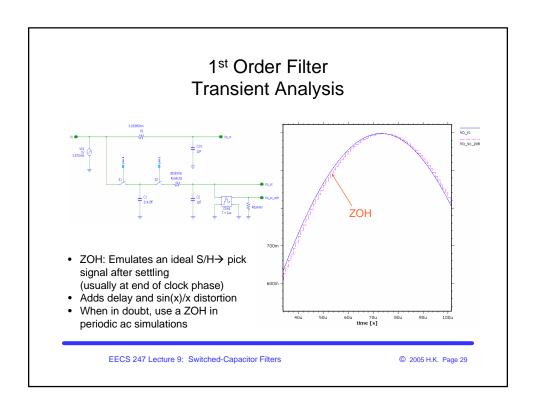


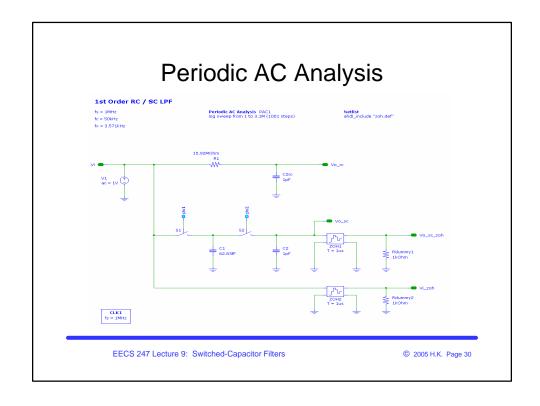




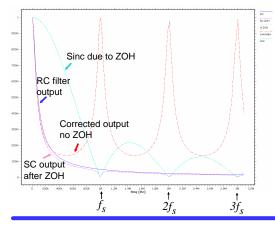












- 1. RC filter output
- 2. SC output after ZOH
- 3. Input after ZOH
- 4. Corrected output
  - (2) over (3)
  - Repeats filter shape around nf<sub>s</sub>
  - Identical to RC for  $f << f_s/2$

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## Periodic AC Analysis

- SPICE frequency analysis
  - ac linear, time-invariant circuitspac linear, time-variant circuits
- SpectreRF statements
  - V1 ( Vi 0 ) vsource type=dc dc=0 mag=1 pacmag=1 PSS1 pss period=lu errpreset=conservative PAC1 pac start=1 stop=1M lin=1001
- Output
  - Divide results by sinc(f/f<sub>s</sub>) to correct for ZOH distortion

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## Spectre Circuit File

```
rc_pac
simulator lang=spectre
ahdl_include "zoh.def"
S1 ( Vi~c1~phi1~0~) relay ropen=100G rclosed=1 vt1=-500m~vt2=500m
S2 ( c1 Vo_sc phi2 0 ) relay ropen=100G rclosed=1 vt1=-500m vt2=500m \,
C1 ( c1 0 ) capacitor c=314.159f
C2 ( Vo_sc 0 ) capacitor c=1p
R1 ( Vi Vo_rc ) resistor r=3.1831M
C2rc ( Vo_rc 0 ) capacitor c=1p
CLK1_Vphi1 ( phi1 0 ) vsource type=pulse val0=-1 val1=1 period=1u
                          width=450n delay=50n rise=10n fall=10n
CLK1_Vphi2 ( phi2 0 ) vsource type=pulse val0=-1 val1=1 period=1u
                          width=450n delay=550n rise=10n fall=10n
V1 ( Vi 0 ) vsource type=dc dc=0 mag=1 pacmag=1 \,
PSS1 pss period=lu errpreset=conservative
PAC1 pac start=1 stop=3.1M log=1001
ZOH1 ( Vo_sc_zoh 0 Vo_sc 0 ) zoh period=lu delay=500n aperture=ln tc=10p
ZOH2 ( Vi_zoh 0 Vi 0 ) zoh period=lu delay=0 aperture=ln tc=10p
```

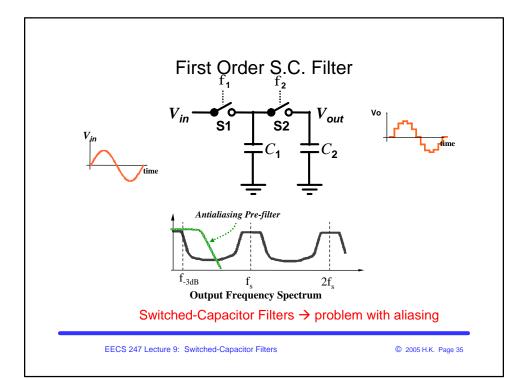
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#### **ZOH Circuit File**

```
// Copy from the SpectreRF Primer
                                                          // Implement switch with effective series
module zoh (Pout, Nout, Pin, Nin) (period,
    delay, aperture, tc)
                                                           // resistence of 1 Ohm
                                                          if ( ($time() > start) && ($time() <= stop))
                                                             I(hold) <- V(hold) - V(Pin, Nin);</pre>
node [V,I] Pin, Nin, Pout, Nout;
parameter real period=1 from (0:inf);
                                                             I(hold) <- 1.0e-12 * (V(hold) - V(Pin, Nin));</pre>
parameter real delay=0 from [0:inf);
parameter real aperture=1/100 from (0:inf);
parameter real tc=1/500 from (0:inf);
                                                          // Implement capacitor with an effective
                                                           // capacitance of to
                                                          I(hold) <- tc * dot(V(hold));</pre>
integer n; real start, stop;
node [V,I] hold;
  analog {
                                                           // Buffer output
                                                          V(Pout, Nout) <- V(hold);
    // determine the point when aperture
    begins
    n = ($time() - delay + aperture) / period + 0.5;
                                                           // Control time step tightly during
                                                           // aperture and loosely otherwise
    start = n*period + delay - aperture;
                                                          if (($time() >= start) && ($time() <= stop))
    $break_point(start);
                                                             $bound_step(tc);
    // determine the time when aperture ends
    n = ($time() - delay) / period + 0.5;
                                                             $bound_step(period/5);
    stop = n*period + delay;
    $break_point(stop);
```

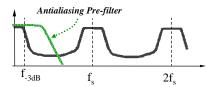
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## Sampled-Data Filters Anti-aliasing Requirements

- Frequency response repeats at  $f_s$ ,  $2f_s$ ,  $3f_s$ .....
- High frequency signals close to  $f_s$ ,  $2f_s$ ,....folds back into passband (aliasing)
- Most cases must pre-filter input to a sampled-data filter to remove signal at  $f > f_s/2$  (nyquist  $\rightarrow f_{max}$   $< f_s/2$ )
- Usually, anti-aliasing filter included on-chip as continuous-time filter with relaxed specs. (no tuning)

Example: Anti-Aliasing Filter Requirements



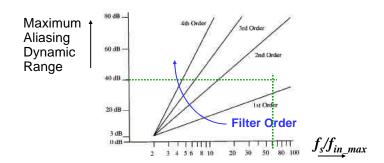
- Voice-band SC filter  $f_{-3dB} = 4kHz$  &  $f_s = 256kHz$
- Anti-aliasing filter requirements:
  - Need 40dB attenuation at clock frequency
  - Incur no phase-error from 0 to 4kHz
  - Gain error 0 to 4kHz < 0.05dB
  - Allow +-30% variation for anti-aliasing corner frequency (no tuning)

Need to find minimum required filter order

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#### Oversampling Ratio versus Anti-Aliasing Filter Order

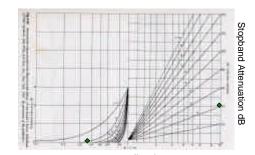


- \* Assumption→ anti-aliasing filter is Butterworth type
  - →2<sup>nd</sup> order Butterworth
  - →Need to find minimum corner frequency for mag. droop < 0.05dB

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#### **Example: Anti-Aliasing Filter Specifications**

- Normalized frequency for 0.05dB droop: need perform passband simulation → 0.34 → 4kHz/0.34=12kHz
- Set anti-aliasing filter corner frequency for minimum corner frequency 12kHz → Nominal corner frequency 12kHz/0.7=17.1kHz
- Check if attenuation requirement is satisfied for widest filter bandwidth → 17.1x1.3=22.28kHz
- Normalized filter clock frequency to max. corner freq. →256/22.2=11.48→ make sure enough attenuation
- Check phase-error within 4kHz bandwidth: simulation

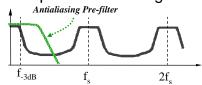


Normalized w From: Williams and Taylor, p. 2-37

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#### Example: Anti-Aliasing Filter



- Voice-band SC filter  $f_{-3dB} = 4kHz$  &  $f_s = 256kHz$
- Anti-aliasing filter requirements:
  - Need 40dB attenuation at clock freq.
  - Incur no phase-error from 0 to 4kHz
  - Gain error 0 to 4kHz < 0.05dB
  - Allow +-30% variation for anti-aliasing corner frequency (no tuning)
    - →2-pole Butterworth LPF with nominal corner freq. of 17kHz & no tuning (12kHz to 22kHz corner frequency)

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#### Summary

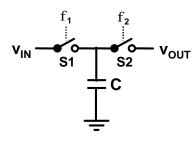
- Sampling theorem  $\rightarrow f_s > 2f_{max\_Signal}$
- Signals at frequencies  $nf_S \pm f_{sig}$  fold back down to desired signal band,  $f_{sig}$ 
  - → This is called <u>aliasing</u> & usually dictates use of anti-aliasing pre-filters
- · Oversampling helps reduce required order for anti-aliasing filter
- S/H function shapes the frequency response with <a href="mailto:sinx/x">sinx/x</a>
  - → Need to pay attention to droop in passband due to sinx/x
- If the above requirements are not met, CT signal can NOT be recovered from SD or DT without loss of information

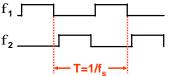
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## Switched-Capacitor Noise

- Resistance of switch S1 produces a noise voltage on C with variance kT/C
- The corresponding noise charge is Q<sup>2</sup>=C<sup>2</sup>V<sup>2</sup>=kTC
- This charge is sampled when S<sub>1</sub> opens

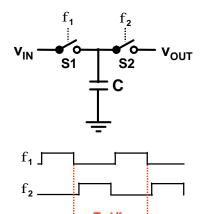




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## Switched-Capacitor Noise

- Resistance of switch S2 contributes to an uncorrelated noise charge on C at the end of φ<sub>2</sub>
- Mean-squared noise charge transferred from v<sub>IN</sub> to v<sub>OUT</sub> each sample period is Q<sup>2</sup>=2kTC



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## Switched-Capacitor Noise

• The mean-squared noise current due to S1 and S2's kT/C noise is :

$$i^2 = \left(Qf_s\right)^2 = 2k_BTCf_s^2$$

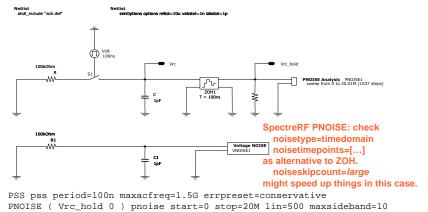
This noise is approximately white and distributed between 0 and f<sub>√</sub>2 (noise spectra → single sided by convention)
 The spectral density of the noise is:

$$\frac{i^2}{\Delta f} = \frac{2k_B T C f_s^2}{f_{s./2}} = 4k_B T C f_s = \frac{4k_B T}{R_{EQ}} \qquad using \qquad R_{EQ} = \frac{1}{f_s C}$$

→ S.C. resistor noise equals a physical resistor noise with same value!

## Periodic Noise Analysis

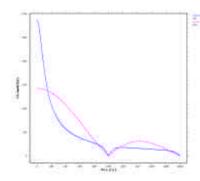
#### Sampling Noise from SC S/H



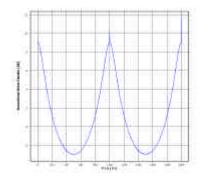
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## Sampled Noise Spectrum

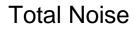


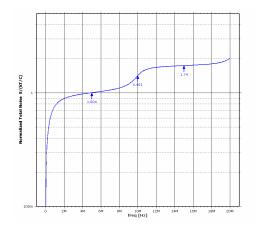
Density of sampled noise including sinc distortion



Sampled noise normalized density corrected for sinc distortion

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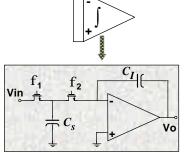
Sampled noise in  $0 \dots f_s/2$ :  $62.2\mu V$  rms

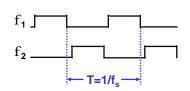
(expect 64µV for 1pF)

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#### Switched-Capacitor Integrator





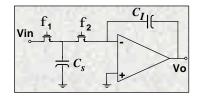
for fsignal << fsampling

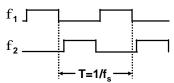
Main advantage: No tuning needed

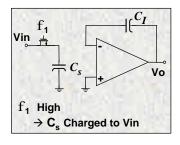
→ critical frequency function of ratio of caps & clock freq.

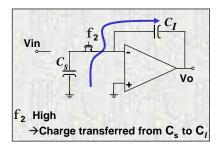
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#### Switched-Capacitor Integrator









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#### Continuous-Time versus Discrete Time Design Flow

#### Continuous-Time

- Write differential equation
- Laplace transform (F(s))
- Let  $s=j\omega \rightarrow F(j\omega)$
- Plot |F(jω)|, phase(F(jω)

#### **Discrete-Time**

 Write difference equation → relates output sequence to input sequence

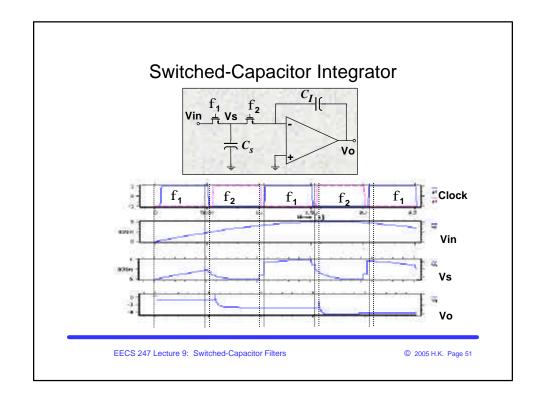
$$V_O(nT_S) = V_i [(n-1)T_S] - \dots$$

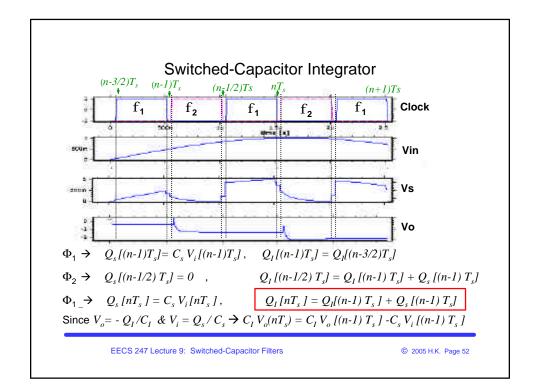
 Use delay operator Z<sup>-1</sup> to transform the recursive realization to algebraic equation in Z domain

$$V_o(z) = Z^{-1}V_i(z)....$$

- Set  $Z=e^{jWT}$
- Plot mag./phase versus frequency

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#### Discrete Time Design Flow

- Transforming the recursive realization to algebraic equation in *Z* domain:
  - Use Delay operator Z:

$$nT_{S}..... \rightarrow I$$

$$[(n-1)T_{S}]..... \rightarrow Z^{-1}$$

$$[(n-1/2)T_{S}]..... \rightarrow Z^{-1/2}$$

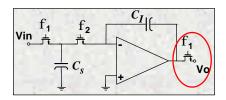
$$[(n+1)T_{S}]..... \rightarrow Z^{+1}$$

$$[(n+1/2)T_{S}]..... \rightarrow Z^{+1/2}$$

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#### Switched-Capacitor Integrator



$$-C_{I}V_{O}(nT_{S}) = -C_{I}V_{O}[(n-1)T_{S}] + C_{S}V_{in}[(n-1)T_{S}]$$

$$V_{O}(nT_{S}) = V_{O}[(n-1)T_{S}] - \frac{C_{s}}{C_{I}}V_{in}[(n-1)T_{S}]$$

$$V_{O}(Z) = Z^{-1}V_{O}(Z) - Z^{-1}\frac{C_{s}}{C_{I}}V_{in}(Z)$$

$$\frac{V_o}{V_{in}}(Z) = -\frac{C_s}{C_I} \times \frac{Z^{-1}}{1 - Z^{-1}}$$

DDI (Direct-Transform Discrete Integrator)

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#### z-Plane Characteristics

• Consider variable  $Z=e^{sT}$  for any s in left-half-plane (LHP):

$$S=-a+jb$$
  
 $Z=e^{-aT}$ .  $e^{-jbT}=e^{-aT}(cosbT+jsin\ bT)$   
 $|Z|=e^{-aT}$ ,  $angle(Z)=bT$ 

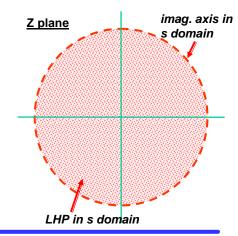
- $\rightarrow$  For values of S in LHP |Z| < 1
- $\rightarrow$  For a=0 (imag. axis in s-plane) /Z/=1 (unit circle) if  $angle(Z)=\pi=bT$  then  $b=\pi/T=W$ Then  $\mathbf{w}=\mathbf{w}/2$

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## z-Domain Frequency Response

- LHP singularities in splane map into inside of unit-circle in Z domain
- RHP singularities in splane map into outside of unit-circle in Z domain
- The jω axis maps onto the unit circle



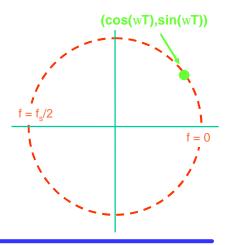
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## z-Domain Frequency Response

· Particular values:

$$-f = 0 \Rightarrow z = 1$$
$$-f = f_s/2 \Rightarrow z = -1$$

- The frequency response is obtained by evaluating H(z) on the unit circle at z = e<sup>jωT</sup> = cos(ωT)+jsin(ωT)
- Once z=1 (f<sub>s</sub>/2) is reached, the frequency response repeats, as expected

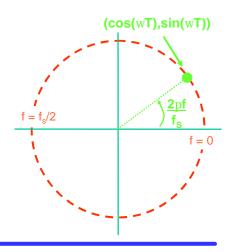


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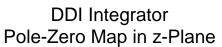
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## z-Domain Frequency Response

• The angle to the pole is equal to  $360^{\circ}$  (or  $2\pi$  radians) times the ratio of the pole frequency to the sampling frequency



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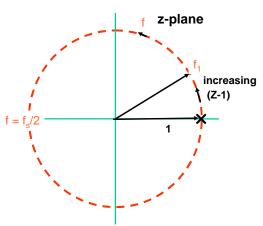


 $Z-1=0 \rightarrow Z=1$ on unit circle

Pole from f→0 in s-plane mapped to z=+1

As frequency increases z domain pole moves on unit circle (CCW)

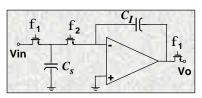
Once pole gets to (Z=-1),( $f=f_s/2$ ), frequency response repeats



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#### **DDI Switched-Capacitor Integrator**



$$\frac{V_o}{V_{in}}(Z) = -\frac{C_s}{C_I} \times \frac{Z^{-1}}{1 - Z^{-1}}$$

$$\frac{V_O}{V_{in}}(Z) = -\frac{C_S}{C_I} \times \frac{I}{Z-I} , Z = e^{j\mathbf{w}T}$$

Series expansion for 
$$e^{\lambda}$$

$$\frac{V_O}{V_{in}}(\mathbf{w}) = -\frac{C_S}{C_I} \times \frac{1}{\left[1 + j\mathbf{w}T + \frac{(j\mathbf{w}T)^2}{2!} + \frac{(j\mathbf{w}T)^3}{3!} + \dots\right] - 1}$$

$$= -\frac{C_S}{C_I} \times \frac{1}{i\mathbf{w}T - \frac{(\mathbf{w}T)^2}{2!} + \frac{(\mathbf{w}T)^3}{2!} + \dots}$$

$$\frac{V_o}{V_{in}}(\mathbf{w}) = -\frac{C_s}{C_I} \times \frac{1}{j\mathbf{w}T}$$
 
$$Since T = 1/f_s$$

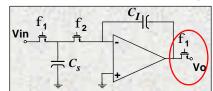
$$Since T = 1/f_S$$

$$\frac{V_O}{V_{in}}(\mathbf{w}) = -\frac{C_s}{C_I} \times \frac{f_s}{s} = -\frac{1}{C_I R_{eq} s}$$

$$\rightarrow ideal\ integrator$$

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#### **DDI Switched-Capacitor Integrator**

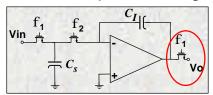


$$\begin{split} \frac{V_O}{V_{in}}(Z) &= -\frac{C_S}{C_I} \times \frac{Z^{-l}}{l - Z^{-l}} \quad , \quad Z = e^{jWT} \\ &= \frac{C_S}{C_I} \times \frac{1}{l - e^{jWT}} = \frac{C_S}{C_I} \times \frac{e^{-jWT/2}}{e^{-jWT/2} - e^{jWT/2}} \\ &= -j\frac{C_S}{C_I} \times e^{-jWT/2} \times \frac{1}{2\sin(wT/2)} \\ &= -\frac{C_S}{C_I} \frac{1}{jWT} \times \frac{wT/2}{\sin(wT/2)} \times e^{-jWT/2} \end{split}$$
 Ideal Integrator) Magnitude Error

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#### **DDI Switched-Capacitor Integrator**



$$\frac{V_O}{V_{in}}(Z) = -\frac{C_S}{C_I} \frac{1}{j\mathbf{W}^T} \times \frac{\mathbf{W}^T/2}{si\underline{n}(\mathbf{W}^T/2)} \times e^{-j\mathbf{W}^T/2}$$
Ideal Integrator) Magnitude Error

Example: Mag. & phase error for:

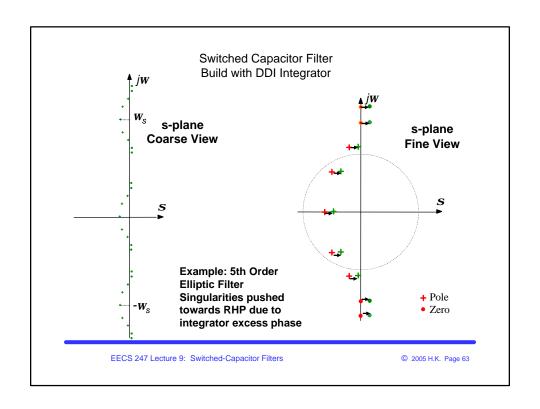
 $1-f/fs=1/12 \rightarrow Mag. \ Error=1\% \ or \ 0.1dB$ Phase  $error=15 \ degree$ 

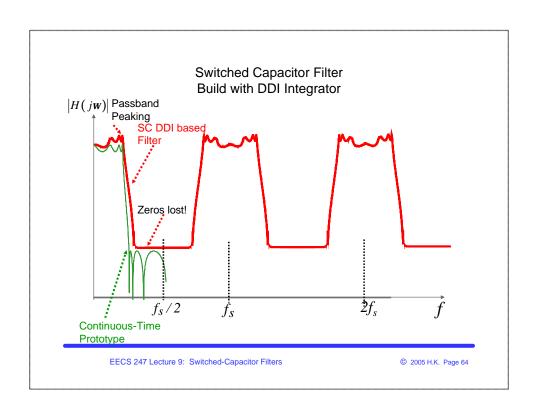
 $Q_{intg} = -3.8$ 

2-f/fs=1/32  $\Rightarrow$  Mag. Error=0.16% or 0.014dB Phase error=5.6 degree  $Q_{intg}$  = -10.2 DDI Integrator

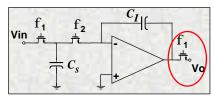
→ magnitude error no problem phase error major problem

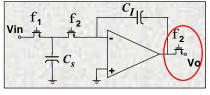
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#### Modified Switched-Capacitor Integrator





DDI Integrator

LDI Integrator

## Sample output $\frac{1}{2}$ clock cycle earlier $\rightarrow$ Sample output on $f_2$

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